

# On round functions of permutations

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Joan Daemen, Radboud University NL

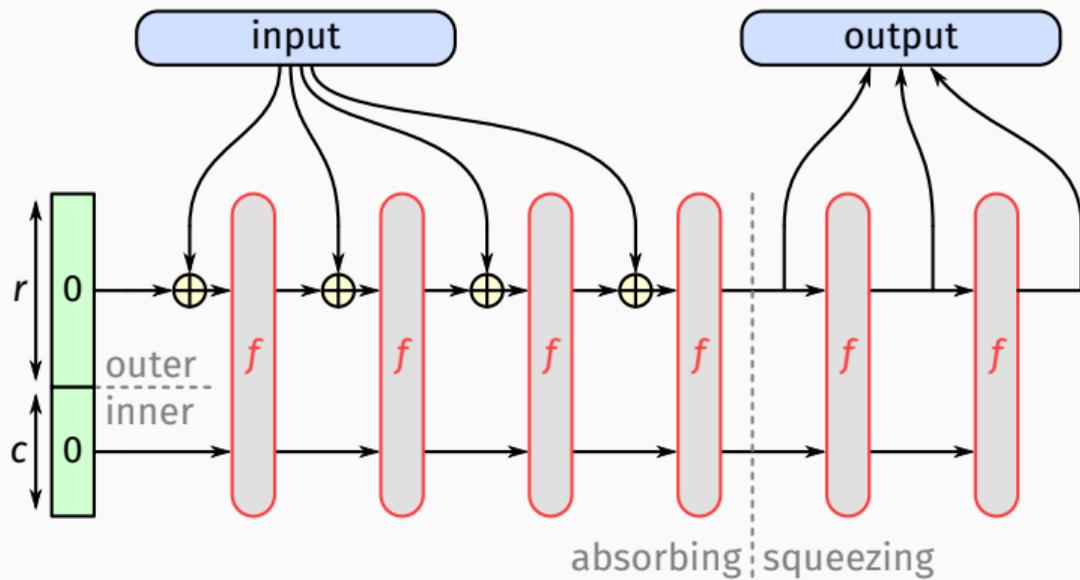
BFA, September 7, 2023

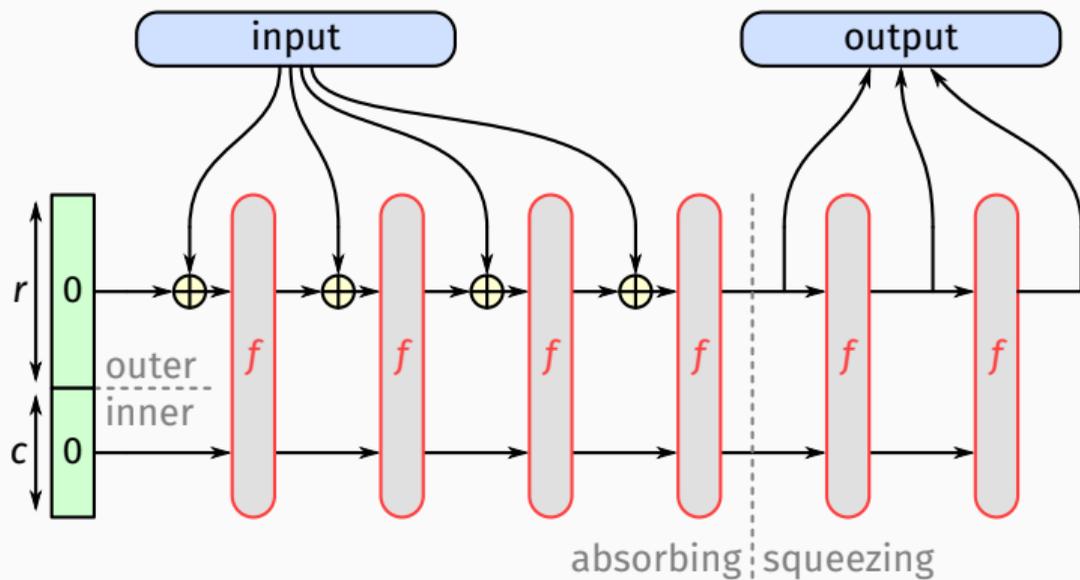
Voss, Norway



## Permutation-based cryptography

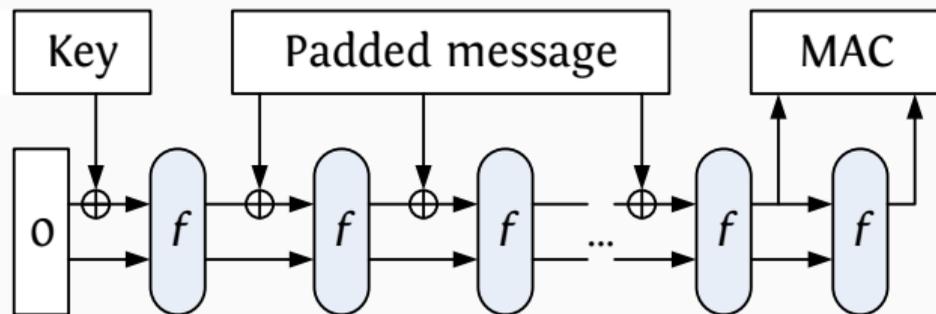
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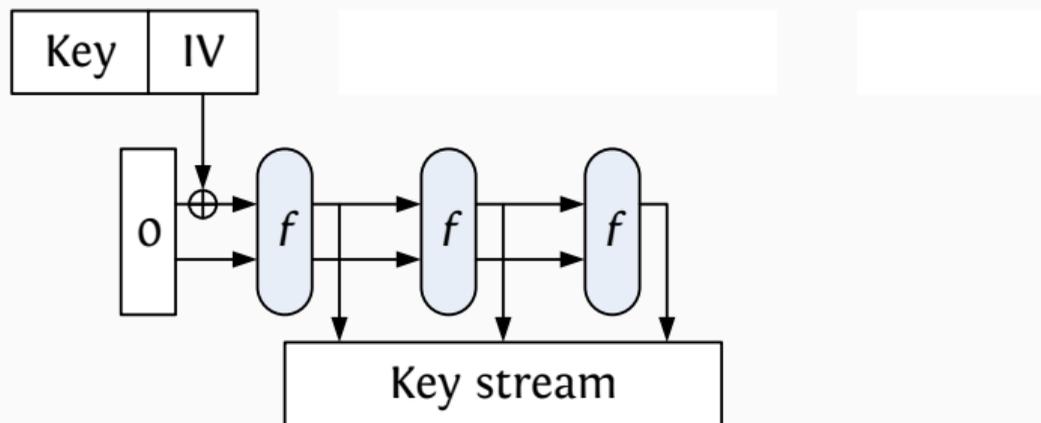


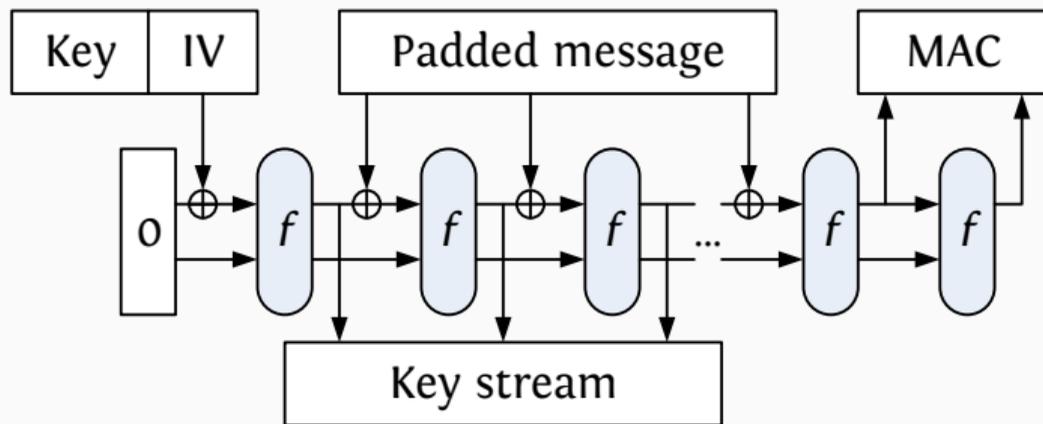
A priori for unkeyed hashing

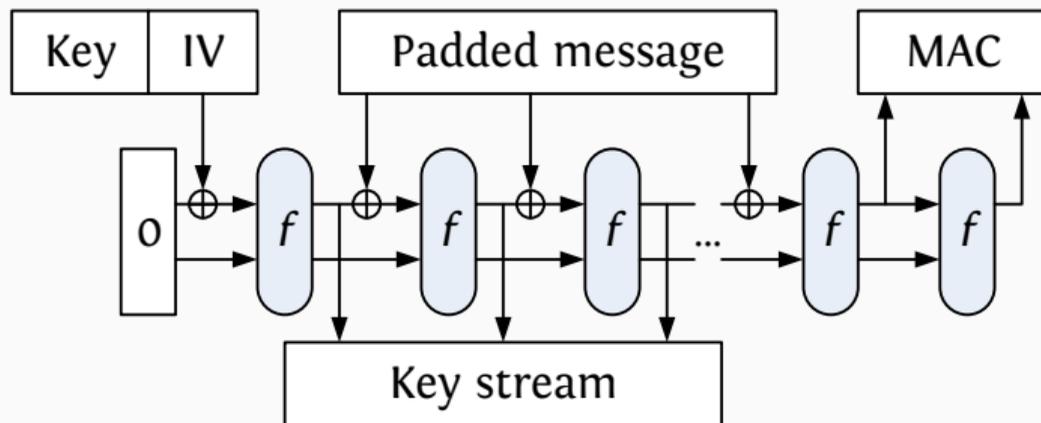
## Mac computation with sponge



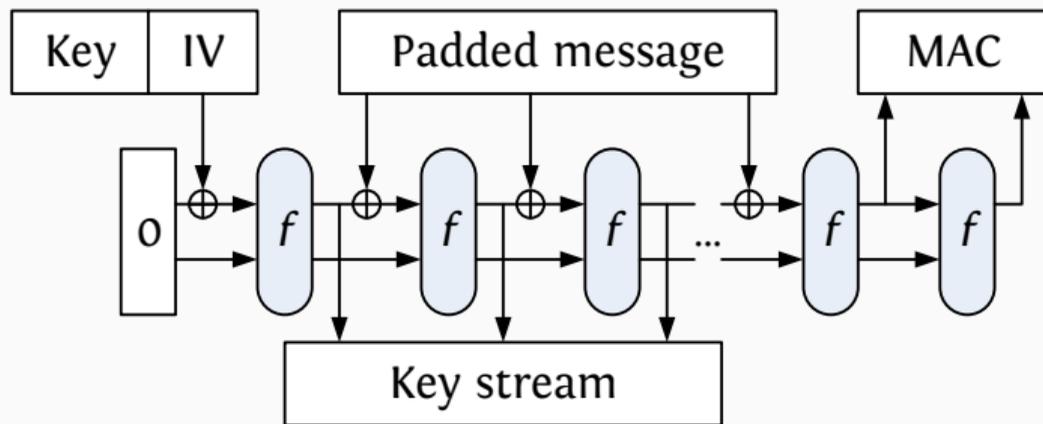
# Stream encryption with sponge





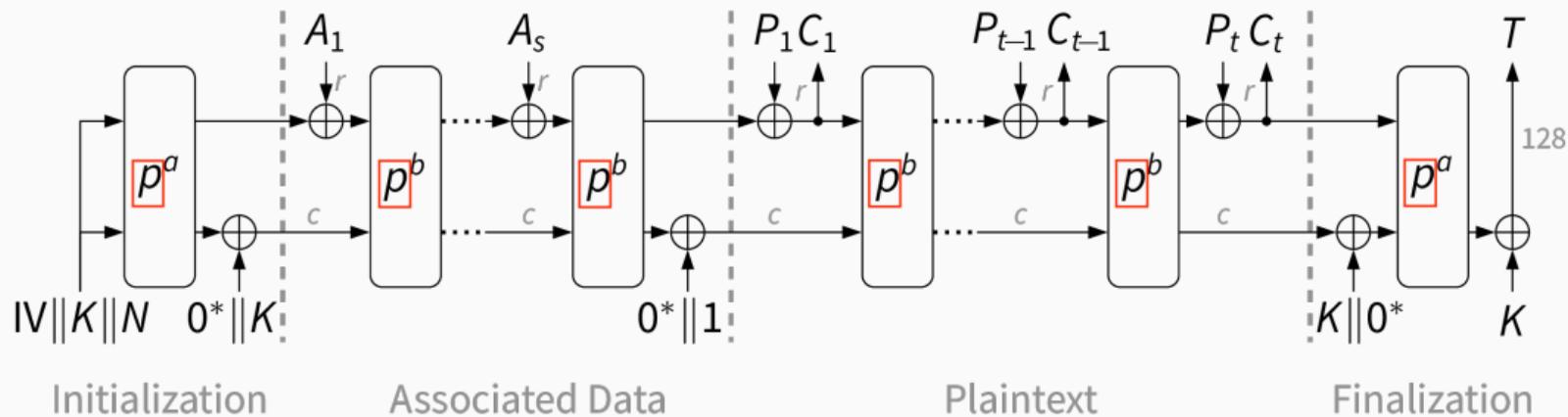


Ideal for lightweight!



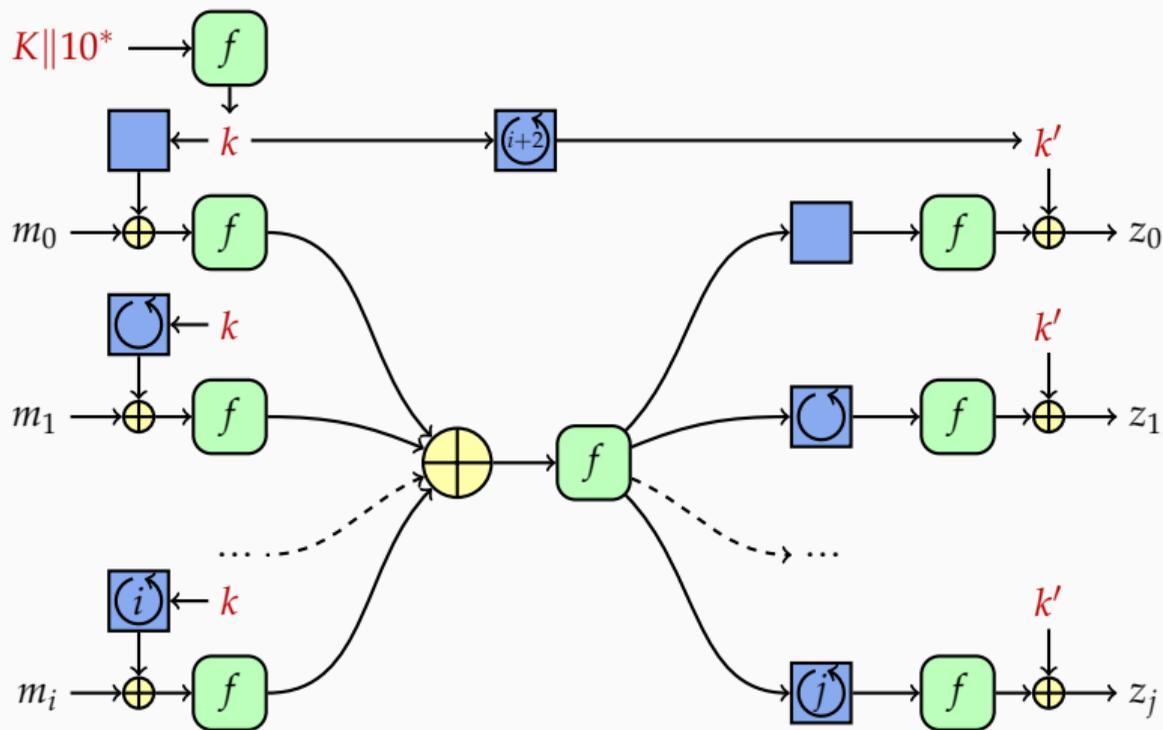
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Especially the variant MonkeyDuplex that we proposed in [Bertoni et al., DIAC 2012]

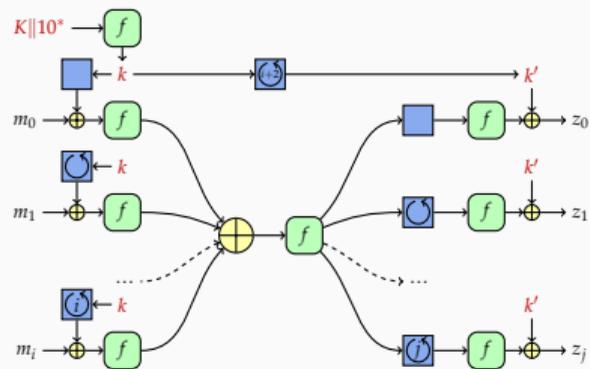


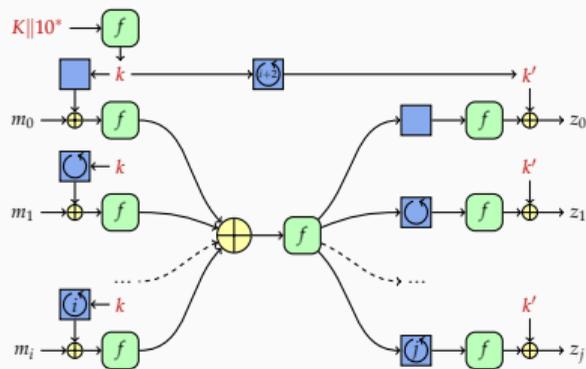
NIST's new standard for lightweight authenticated encryption!

# Farfalle construction [Bertoni et al., 2017]

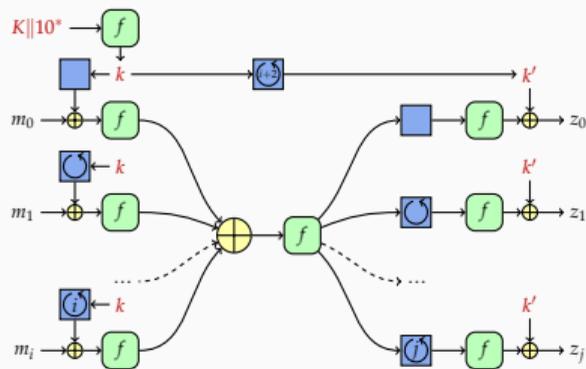


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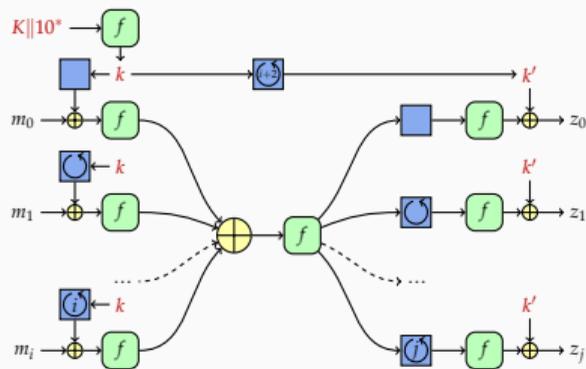




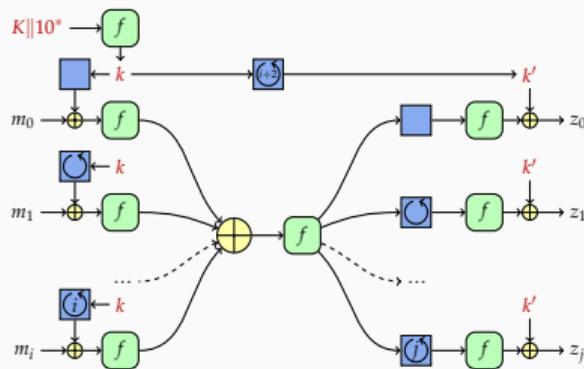
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- XOOFFF [Bertoni et al., 2018]
  - Farfalle with XODOO permutation
  - Competitive with AES even on CPUs with AES-NI instruction

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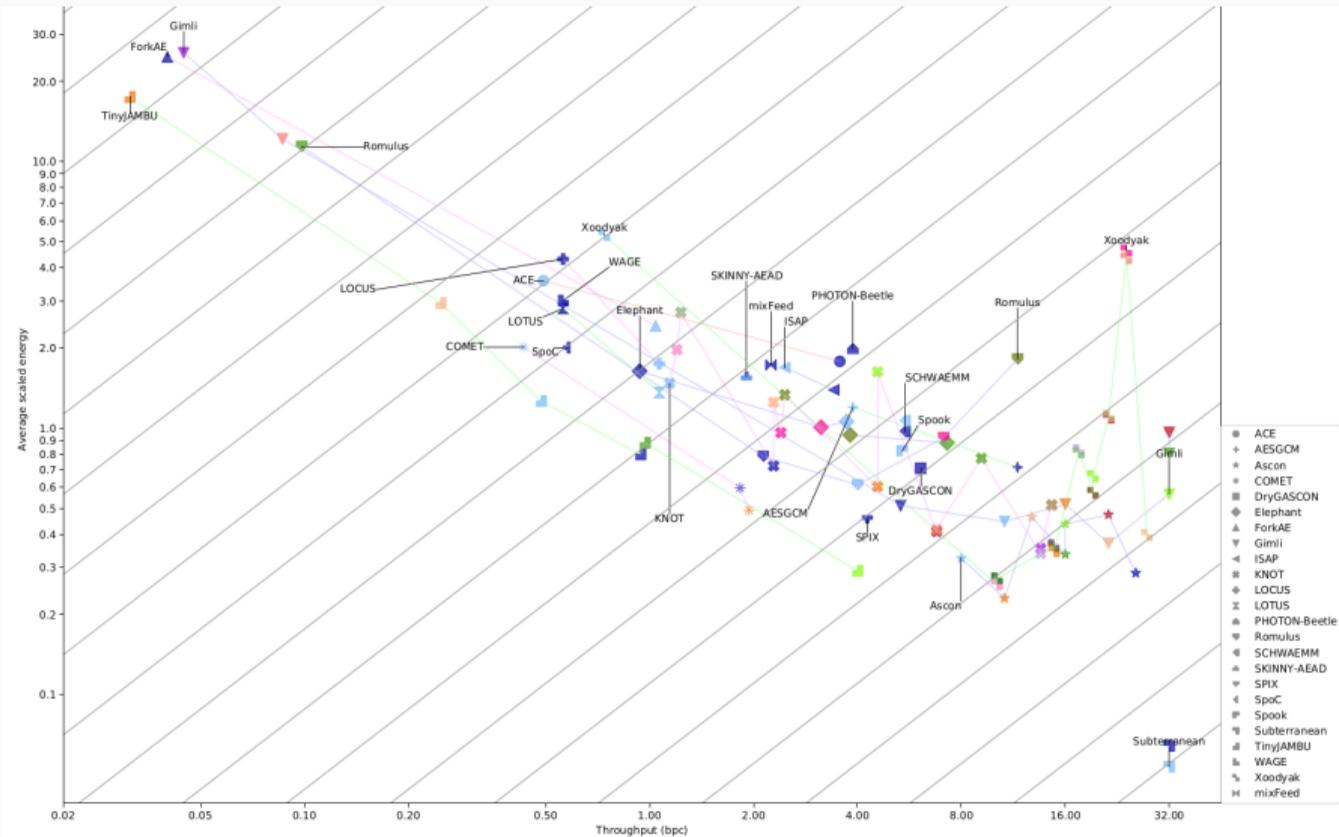
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- Interesting hardware benchmarks related to lightweight:
  - <https://eprint.iacr.org/2020/1207>
  - <https://eprint.iacr.org/2020/1459>
  - <https://eprint.iacr.org/2021/049>



**Focus on the permutation**

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  - main criterion: energy-efficiency
  - achievable speed
  - lightweight: power-efficiency, area

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  - suitability for masking: low algebraic degree building blocks
- As opposed to block ciphers: **no need for efficient inverse**

## Propagation properties required from a permutation (of $\mathbb{F}_2^n$ )

**Differential probability (DP) of a differential  $(a, b)$**

$$\text{DP}(a, b) = \frac{\#\{x \in \mathbb{F}_2^n \mid f(x+a) + f(x) = b\}}{2^n}$$

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**LC DC requirements are of the following type:**

$$\forall (a, b) \neq (0, 0) : \text{DP}(a, b) < \text{limit}$$

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  - or to harvest (linear) equations in unknown state bits

**Requirements related to summing attacks are of the following type:**

$$\forall V \subset \mathbb{F}_2^n \text{ such that } \forall x \in \mathbb{F}_2^n : \sum_{v \in V} f(x + v) = 0, |V| > \text{limit}$$

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An S-box with zero input difference contributes 0 to the weight: it is *passive*.

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An S-box with zero output mask contributes 0 to the weight: it is *passive*.

The weight of a differential trail is the sum of the weights of its active S-boxes

$$w(Q) = \sum_{i,r} w(b_i^{r-1}, a_i^r) \text{ with } b^i = Ma^i \quad \text{and} \quad DP(Q) \approx EDP(Q) = 2^{-w(Q)}$$

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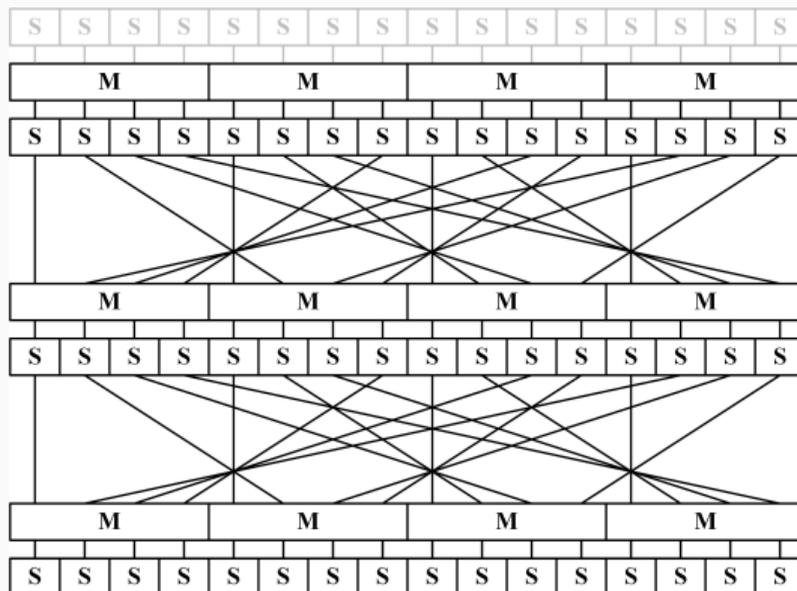
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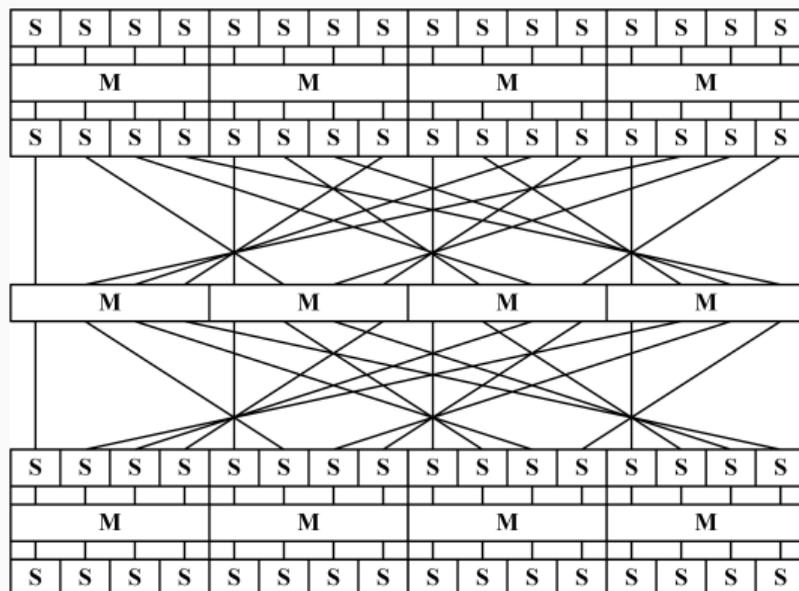
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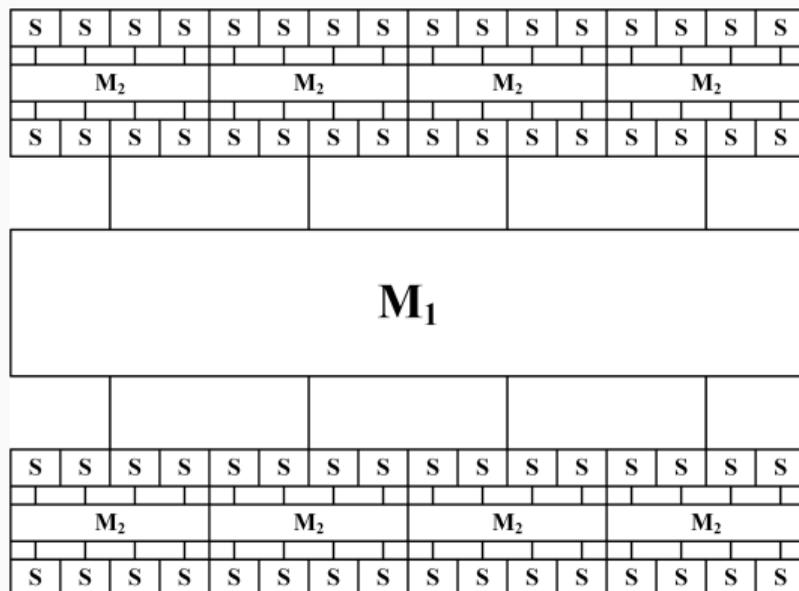
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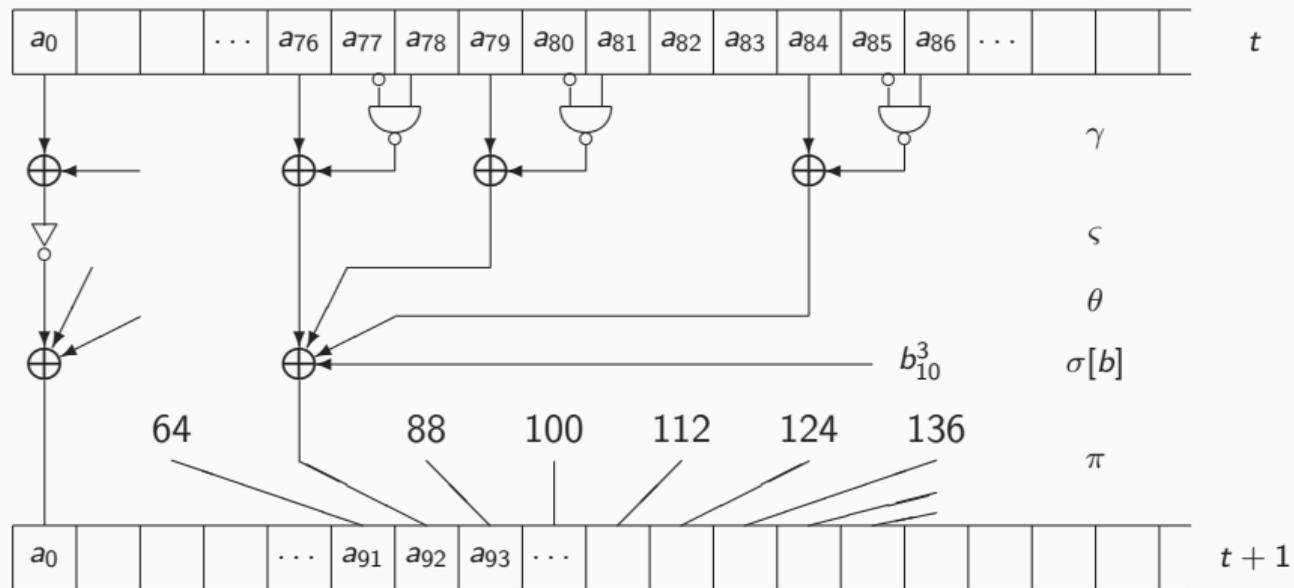


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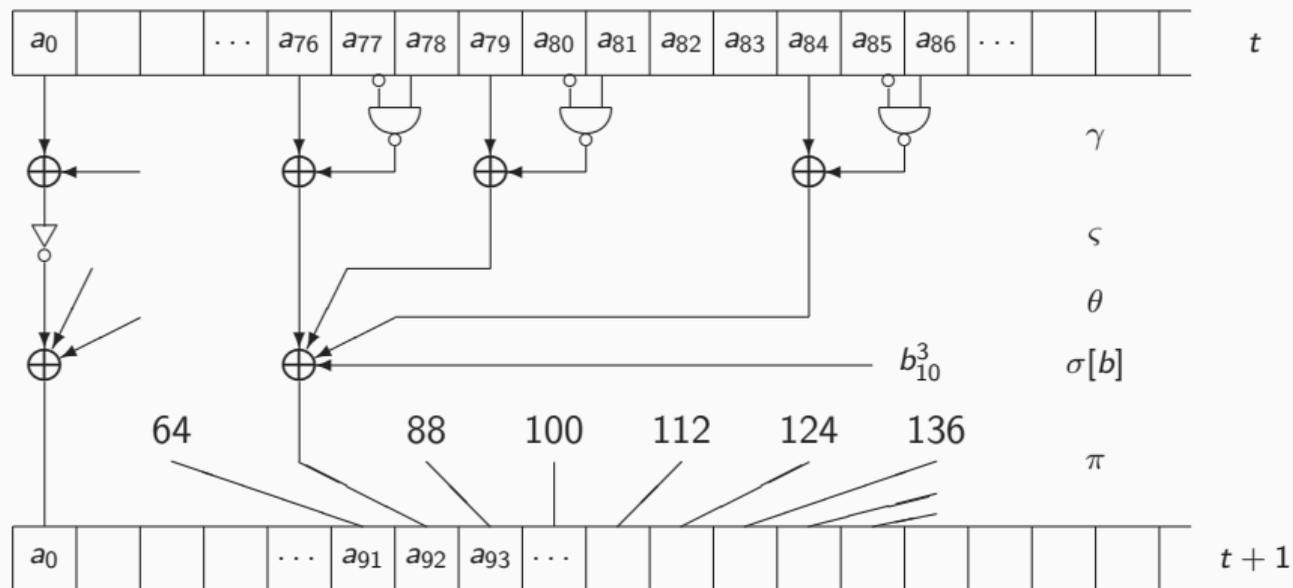


Thanks to *superboxes* proving any 4-round trail has at least 25 active S-boxes is easy!

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Proving trail bounds requires computer-assisted search

## Choice of the S-box

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**Correlation and LP of linear approximations  $(a, b)$  of a transformation of  $\mathbb{F}_{p^n}$**

$$C(a, b) = \frac{\sum_x \omega^{\text{Tr}(ax - bf(x))}}{p^n} \text{ with } \omega = e^{\frac{2\pi i}{p}}$$

$$\text{LP}(a, b) = C(a, b)\overline{C(a, b)}$$

Transformations in  $\mathbb{F}_{p^n}$  with much symmetry:

## Transformations in $\mathbb{F}_{p^n}$ with much symmetry: power functions

- Functions of the form  $y \leftarrow x^e$
- Invertible if  $e$  is coprime to  $p^n - 1$
- Invertible power functions form a group
  - isomorphic to  $(\mathbb{Z}/(p^n - 1)\mathbb{Z})^*$
  - order is  $\varphi(p^n - 1)$
- Inverse of  $y \leftarrow x^e$  is  $y \leftarrow x^d$  with  $d = e^{-1} \bmod (p^n - 1)$

- Differentials and correlation:
  - $DP(a, b) = p^{-n} \#\{x \mid (x+a)^e - x^e = b\}$
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  - exponents  $e, pe, p^2e \dots$  are equivalent with respect to our analysis

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- Multiplicative inverse mapping is often called the **Kaisa S-box** [Nyberg, EC '93]

# DP-table (aka scaled DDT) of $y \leftarrow x^{-1}$ in $\mathbb{F}_2^4$

1/8	1	2	3	4	5	6	7	8	9	a	b	c	d	e	f
1	2					1	1		1		1		1	1	
2			1				1		2	1		1	1		1
3		1					1	1		1	1	1		2	
4					1	1		1		1			2	1	1
5				1		1		1	1		2	1			1
6	1			1	1	1	2			1		1			
7	1	1	1			2	1	1							1
8			1	1	1		1				1			1	2
9	1	2			1				1			1		1	1
a		1	1	1		1						2	1	1	
b	1		1		2			1			1	1	1		
c		1	1		1	1			1	2	1				
d	1	1		2						1	1		1		1
e	1		2	1				1	1	1				1	
f		1		1	1		1	2	1				1		

# DP-table of $x \leftarrow x^{-1}$ in $\mathbb{F}_2^4$ , reordered

1/8	1	$\alpha$	$\alpha^2$	$\alpha^3$	$\alpha^4$	$\alpha^5$	$\alpha^6$	$\alpha^7$	$\alpha^8$	$\alpha^9$	$\alpha^{10}$	$\alpha^{11}$	$\alpha^{12}$	$\alpha^{13}$	$\alpha^{14}$
1	2					1		1			1	1		1	1
$\alpha$					1		1			1	1		1	1	2
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$\alpha^6$		1			1	1		1	1	2					1
$\alpha^7$	1			1	1		1	1	2					1	
$\alpha^8$			1	1		1	1	2					1		1
$\alpha^9$		1	1		1	1	2					1		1	
$\alpha^{10}$	1	1		1	1	2					1		1		
$\alpha^{11}$	1		1	1	2					1		1			1
$\alpha^{12}$		1	1	2					1		1			1	1
$\alpha^{13}$	1	1	2					1		1			1	1	
$\alpha^{14}$	1	2					1		1			1	1		1

# Correlation matrix (aka scaled LAT) of $x \leftarrow x^{-1}$ in $\mathbb{F}_2^4$

1/4	1	2	3	4	5	6	7	8	9	a	b	c	d	e	f
1	-1		1		1		-1	-1		-1	2	1		1	2
2				1	1	-1	-1		2	2		1	-1	1	-1
3	1		-1	-1	2	1	2	-1		1			-1		1
4		1	-1			1	-1			1	-1	2	2	-1	1
5	1	1	2		-1	1		-1	2		-1	-1			1
6		-1	1	1	1	2		2		-1	-1	1	-1		
7	-1	-1	2	-1			1	1		2	1		1	-1	
8	-1		-1		-1	2	1		1		1		1	2	-1
9		2			2			1	1	-1	1	-1	1	-1	-1
a	-1	2	1	1		-1	2		-1		-1	1		1	
b	2			-1	-1	-1	1	1	1	-1	1	2			
c	1	1		2	-1	1			-1	1	2		-1	-1	
d		-1	-1	2		-1	1	1	1			-1	1		2
e	1	1		-1			-1	2	-1	1		-1		2	1
f	2	-1	1	1	1			-1	-1				2	1	-1

# Correlation matrix of $x \leftarrow x^{-1}$ in $\mathbb{F}_2^4$ , reordered

$1/4$	1	$\beta$	$\beta^2$	$\beta^3$	$\beta^4$	$\beta^5$	$\beta^6$	$\beta^7$	$\beta^8$	$\beta^9$	$\beta^{10}$	$\beta^{11}$	$\beta^{12}$	$\beta^{13}$	$\beta^{14}$
1		-1	-1	1	-1	2	1		-1	1	2		1		
$\beta$	-1	-1	1	-1	2	1		-1	1	2		1			
$\beta^2$	-1	1	-1	2	1		-1	1	2		1				-1
$\beta^3$	1	-1	2	1		-1	1	2		1				-1	-1
$\beta^4$	-1	2	1		-1	1	2		1				-1	-1	1
$\beta^5$	2	1		-1	1	2		1				-1	-1	1	-1
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  - DP table has 16 non-zero entries per row, correlation matrix 26

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- When choosing the normal basis,  $\text{Tr}(ab) = 1$  translates to  $a_0b_0 + a_1b_1 + a_2b_2 = 1$

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  - $y_i \leftarrow x_i + (x_{i+1 \bmod 3} + 1)x_{i+2 \bmod 3}$ : costs 1 xor and 1 and per bit



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- Excellent trade-off between implementation cost and non-linearity

## The linear layer

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  - state is an array of cells, defined by the S-box layer: typically bytes or nibbles
  - mixlayer operates on super-cells: sub-arrays of cells
  - shuffle moves cells to different super-cells
  - analysis and specification is natural at the cell level
- Bit-oriented (non-aligned)
  - three layers partition the statebits in different ways
  - analysis and specification is natural at the bit level



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- Easy to prove that any 4-round trail has at least 25 active S-boxes



## Generalizations of and advances in the square approach

Function	shape	cells	width	type
Rijndael [Daemen & Rijmen, 1998]	$4 \times (4 \text{ to } 8)$	bytes	128 to 256	block
Whirlpool [Rijmen & Barreto, 2000]	$8^2$	bytes	512	block
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Advances in building efficient MDS matrices: +60 publications at crypto venues

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- mostly focusing on  $4 \times 4$  matrices operating on bytes or nibbles
- goal: reduce the total xor count or xor depth
- insight: cost increases sharply with MDS matrix dimension



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  - Any 8-round trail has at least  $5^3 = 125$  active S-boxes

## Showdown Saturnin vs AES (not counting round key addition)

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## AES

# rounds	cost		min. trail weight
	xor	and/or	
1	14	4	6
2	28	8	30
3	42	12	56
4	56	16	150

## Saturnin

# rounds	cost		min. trail weight
	xor	and/or	
1	3,75	1,5	2
2	7,5	3	10
3	11,25	4,5	18
4	15	6	50
5	18,75	7,5	82
6	22,5	9	90
7	26,25	10,5	122
8	30	12	250



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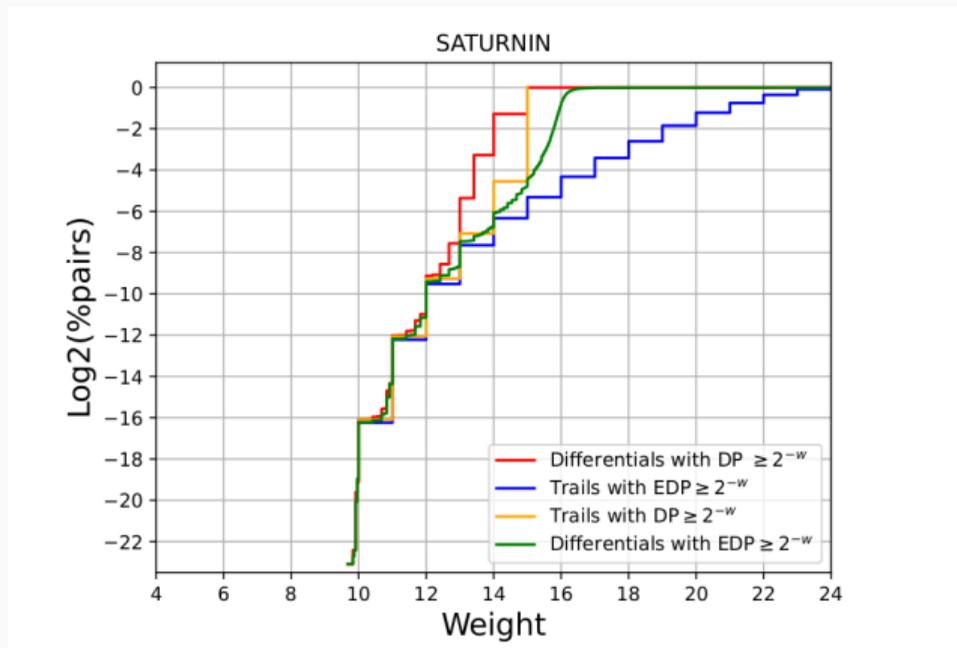
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Clustering and *clipping* in the AES superbox:

- massive clustering of trails in differentials [Daemen & Rijmen, SCN 2006]
- clipping:  $DP(Q)$  strongly deviates from  $EDP(Q)$  for most trails [Daemen & Rijmen, IET 2007]

# Clustering and clipping in the Saturnin superbox, illustrated

Much less clustering and clipping than in AES thanks to smaller S-box, still significant



graph courtesy of Giovanni Uchua de Assis

- In general it is just a binary matrix  $M$ 
  - operating on the full state, or
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## Bit-oriented mixing

- In general it is just a binary matrix  $M$ 
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- Invertible if  $\theta(X)$  is coprime to  $1 + X^m$
- Often one takes a multiplication polynomial that is a trinomial
- Unless carefully chosen, inverse of  $\theta(X)$  is dense
  - no problem if the inverse of the permutation is not needed
  - has an advantage for trail bounds

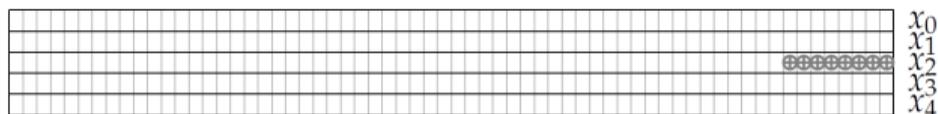
## Some primitives using bit-oriented circulant mixing

Function	length	# t	non-lin.	b	shuffle
Cellhash [Daemen, AC 1991]	257	3	$\chi_{257}$	257	multiplicative
3Way [Daemen, 1993]	12	7	$\chi_3$	96	2 row shift steps
BaseKing [Daemen, 1994]	12	7	$\chi_3$	192	2 row shift steps
Panama [Daemen & Clapp, 1997]	17	3	$\chi_{17}$	544	1 row shift step
SHA-256 [NIST, 2001]	32	3	ARX	256	-
SHA-512 [NIST, 2001]	64	3	ARX	512	-
RadioGatun [Bertoni et al., 2006]	19	3	$\chi_{19}$	608	1 row shift step
Ascon [Dobraunig et al., 2019]	64	3	$\chi_{5+}$	320	different $m(x)$

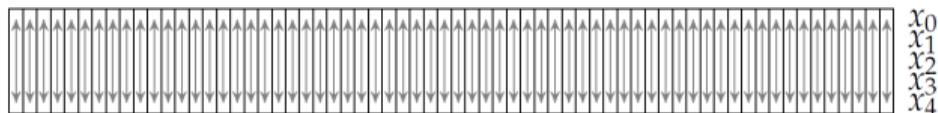


# Ascon-p Round function

- 320-bit state: 5 rows  $x_0, \dots, x_4$  and 64 columns
- Round function  $R = p_L \circ p_S \circ p_C$



(a) Round constant addition  $p_C$



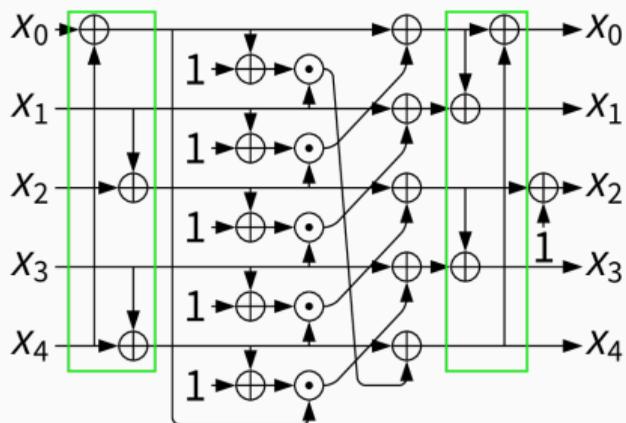
(b) Substitution layer  $p_S$  with 5-bit S-box  $\mathcal{S}(x)$



(c) Linear layer with 64-bit diffusion functions  $\Sigma_i(x_i)$

figure by Ascon team

# Operations dedicated to mixing in Ascon-p



6 bitwise XOR

$$x_0 \leftarrow x_0 \oplus (x_0 \ggg 19) \oplus (x_0 \ggg 28)$$

$$x_1 \leftarrow x_1 \oplus (x_1 \ggg 61) \oplus (x_1 \ggg 39)$$

$$x_2 \leftarrow x_2 \oplus (x_2 \ggg 1) \oplus (x_2 \ggg 6)$$

$$x_3 \leftarrow x_3 \oplus (x_3 \ggg 10) \oplus (x_3 \ggg 17)$$

$$x_4 \leftarrow x_4 \oplus (x_4 \ggg 7) \oplus (x_4 \ggg 41)$$

10 bitwise XOR + 10 cyclic shifts

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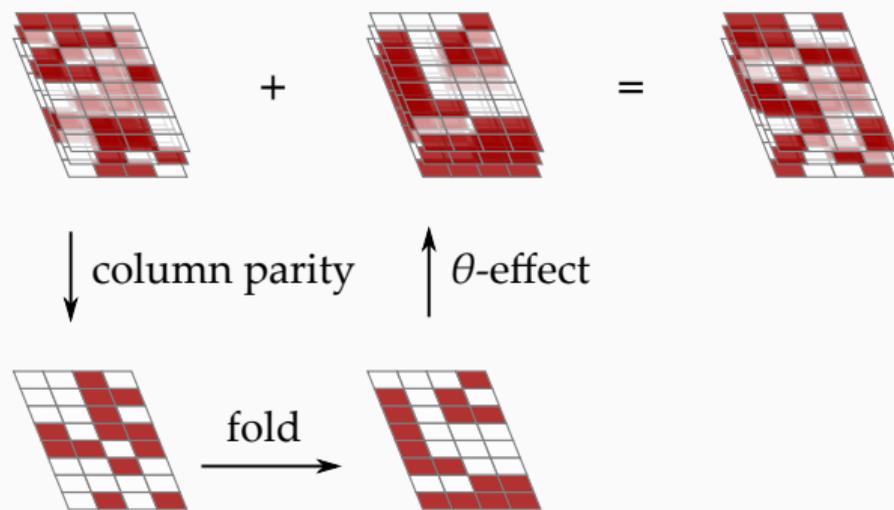
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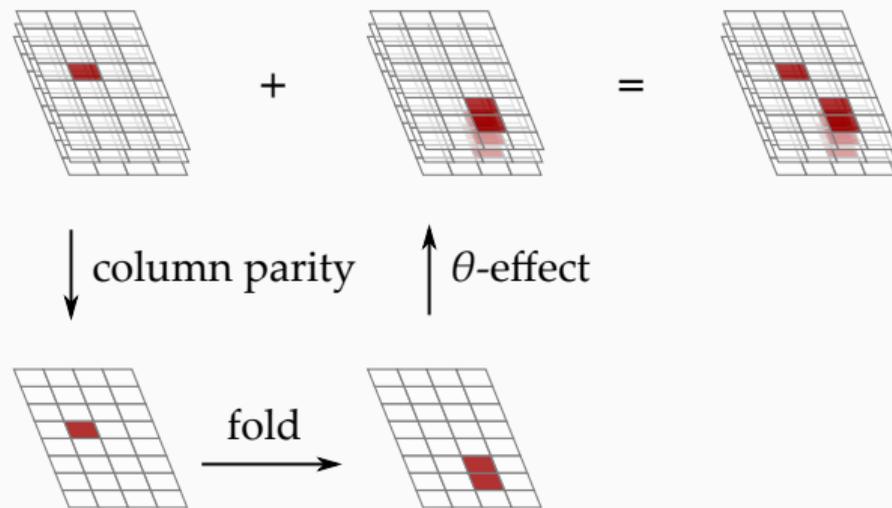
## Ascon

# rounds	cost		min. trail weight	
	xor	and/or	diff	lin
1	4,2	1	2	2
2	8,4	2	8	8
3	12,6	3	40	28
4	16,8	4	$\geq 86$	$\geq 88$
5	21	5	$\geq 100$	$\geq 96$
6	25,2	6	$\geq 129$	$\geq 132$

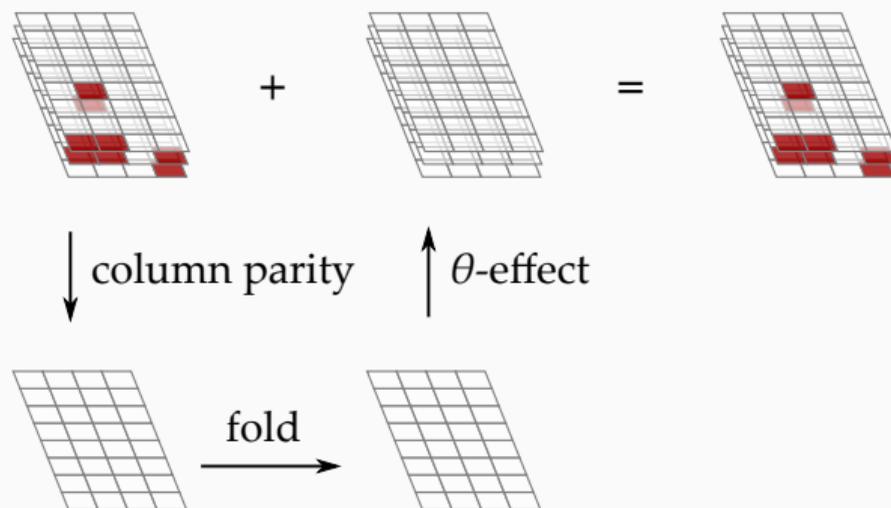
## Another type of mixing layer: column parity mixers (Keccak-f and Xoodoo)



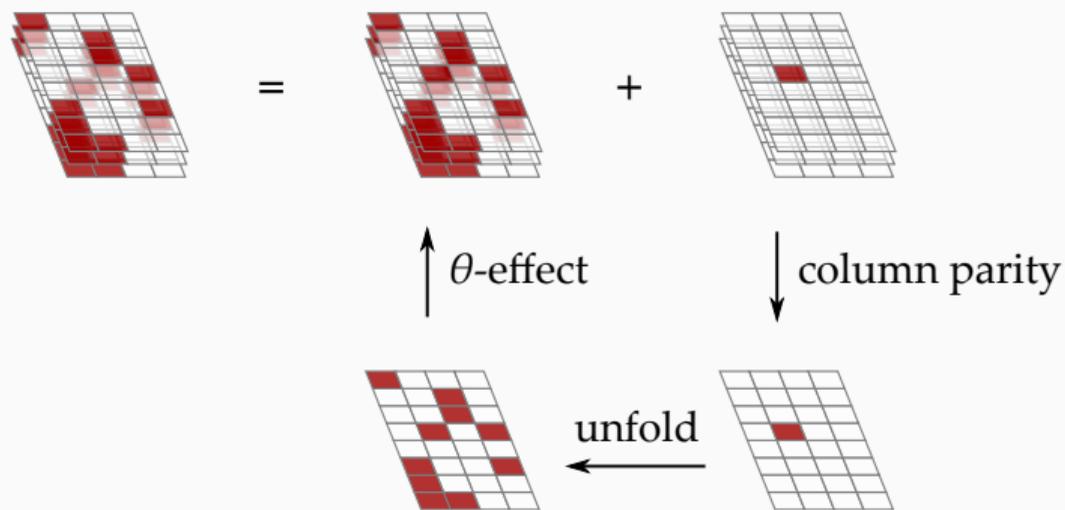
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- Good average diffusion, identity for states in *kernel*
- Cost: 2 xor per bit



# Showdown Xoodoo vs Ascon-p

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Xoodoo

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3	9	3	36
4	12	4	80
5	15	5	$\geq 98$
6	18	6	$\geq 132$

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  - for each differential trails we have:  $DP(Q) = EDP(Q)$
- 4-round trails: work in progress
  - 4 trails of weight 80
  - 2 of these cluster into differential with  $EDP(a, b) = 2^{-79}$
  - dependence of round differentials: we're starting

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  - shuffle with *as few shifts as we can afford*

Thanks for your attention!