On derivatives of Balanced Boolean functions and quadratic APN functions

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A. Musukwa et. al. On derivatives of Balanced functions and APN functions

1 Preliminaries

- 2 Linear space of Balanced Boolean functions
- 3 Linear space of components for APN functions
- 4 Quadratic APN functions
- 5 Quadratic power functions

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- A function from 𝑘ⁿ to 𝑘 (= 𝑘₂ = {0,1}) is a Boolean function (Bf). A set of all functions is denoted by B_n
- ANF for Bf: $f(x_1, ..., x_n) = \sum_{u \in \mathbb{F}^n} a_u \prod_{i=1}^n x_i^{u_i}$ where $a_u \in \mathbb{F}$
- A function from 𝔽ⁿ to 𝔽ⁿ is a vectorial Boolean function (vBf)
- vBf: $F := (f_1, ..., f_n)$ where f_i (in B_n) are called **coordinate** functions
- A **component** of vBf *F* is $F_{\lambda} = \lambda \cdot F$, with $\lambda \neq 0 \in \mathbb{F}^n$

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- $\deg(f) = \max_{a_u \neq 0} w(u)$ and $\deg(F) = \max_{\lambda \in \mathbb{F}^n} \deg(F_\lambda)$
- Weight of $f: w(f) = |\{x \in \mathbb{F}^n | f(x) = 1\}|$
- **Balanced:** $w(f) = 2^{n-1}$
- Affine functions: $A_n = \{g \in B_n | \deg(g) \le 1\}$.

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- Walsh Spectrum of Bf f : $\{W_f(a) \mid a \in \mathbb{F}^n\}$
- Walsh Spectrum of vBf F : $\{W_{F_{\lambda}}(a) \mid a, \lambda \in \mathbb{F}^n\}$
- **Bent:** $\mathcal{W}_f(a) = \pm 2^{n/2}$, for all $a \in \mathbb{F}^n$ and n even
- Semi-bent $f: W_f(a) \in \{0, \pm 2^{(n+1)/2}\}$, for all $a \in \mathbb{F}^n$ and n odd, $W_f(a) \in \{0, \pm 2^{(n+2)/2}\}$, for all $a \in \mathbb{F}^n$ and n even
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Affine Equivalence

• f and g are **affine equivalent** if there is an affinity $\varphi : \mathbb{F}^n \to \mathbb{F}^n$ such that $f = g \circ \varphi$. Write $f \sim_A g$.

Proposition

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- (*i*) $f \sim_A x_1 x_2 + \dots + x_{2i-1} x_{2i} + x_{2i+1}$ with $i \leq \lfloor \frac{n-1}{2} \rfloor$, if f is balanced,
- (ii) $f \sim_A x_1 x_2 + \dots + x_{2i-1} x_{2i} + c$, with $c \in \mathbb{F}$ and $i \leq \lfloor \frac{n}{2} \rfloor$, if f is unbalanced.

Lemma

Two (unbalanced) quadratic Bf's g and h on \mathbb{F}^n are affine equivalent if and only if w(g) = w(h).

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Proposition

If $g(x_1, ..., x_{n-1})$ is an arbitrary Bf then $f = g(x_1, ..., x_{n-1}) + x_n$ is balanced.

(First order) derivative of f at a in \mathbb{F}^n : $D_a f = f(x + a) + f(x)$

Theorem

 $f \in B_n$ is bent if and only if $D_a f$ is balanced for any nonzero $a \in \mathbb{F}^n$.

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- $a \in \mathbb{F}^n$ is a **linear structure** of f if $D_a f$ is a constant.
- We call the set of all linear structures of *f* the **linear space** of *f* and its denoted by *V*(*f*).
- If the only linear structure of f is a = 0, we say the linear space is trivial.
- Let $\Gamma(f) = \{a \in \mathbb{F}^n \mid D_a f \text{ is balanced}\}.$
- Almost Perfect Nonlinear (APN): a vBf F with δ(F) = 2 where

$$\delta(F) = \max_{a \neq 0, b \in \mathbb{F}^n} |\{x \in \mathbb{F}^n | D_a F(x) = b\}|.$$

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Another vBf representation

Univariate polynomial over \mathbb{F}_{2^n} :

$$F(x) = \sum_{i=0}^{2^{n}-1} \delta_{i} x^{i},$$
(1)

where $\delta_i \in \mathbb{F}_{2^n}$ and the degree of F is at most $2^n - 1$.

Power function: $F(x) = x^d$, for some positive integer *d*.

Quadratic power function: is a power function with $d = 2^i + 2^j$ with $i, j \ge 0, i \ne j$.

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Observation

Any Bf can be expressed as:

$$f = x_{n+1}g(x_1, ..., x_n) + h(x_1, ..., x_n).$$

If f in B_n only depends on m variables (m < n), then f_{IF^m} denotes its restriction to these m variables.

Theorem

If
$$f = x_{n+1}g(x_1, ..., x_n) + h(x_1, ..., x_n)$$
, then

- 1. $w(f) = w((g+h)_{\upharpoonright \mathbb{F}^n}) + w(g_{\upharpoonright \mathbb{F}^n}),$
- 2. f is balanced if g + h and h are both balanced,
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Let $f = x_{n+1}g(x_1, ..., x_n) + h(x_1, ..., x_n)$, with $g, h \in B_n$ and let $\alpha \in (a_{n+1}, a) \in \mathbb{F} \times \mathbb{F}^n$. Then

- 1. $D_{\alpha}f \sim_A x_{n+1}D_ag + a_{n+1}g + D_ah$,
- 2. $D_{\alpha}f$ is constant if and only if $D_{a}g = 0$ and $D_{a}h = a_{n+1}g + c$, for some $c \in \mathbb{F}$.

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If $f = x_{n+1}g(x_1, ..., x_n) + h(x_1, ..., x_n)$, with *n* even, $f \in B_{n+1}$, $g, h \in B_n$ and *g* bent, then the linear space of *f* is trivial.

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 with $g = \tilde{g}(x_1, ..., x_{n-1}) + x_n$ and $h = \tilde{h}(x_1, ..., x_{n-2}) + x_{n-1}$. Then

• f is balanced and its linear space is trivial if n is odd and $\tilde{g}_{\mathbb{F}^{n-1}}$ is bent.

Corollary

Let
$$f = x_1g_1 + \dots + x_{i-1}g_{i-1} + g_i$$
, with $g_i = \tilde{g}_i(x_{i+1}, \dots, x_{n-i}) + x_{n-i+1}, g_i \in B_{n-2i+1}$ and $i \le \lfloor n/2 \rfloor$. Then

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Observation

Any Bf can be represented in the form:

$$f = x_{n+1}g(x_1, ..., x_n) + (1 + x_{n+1})h(x_1, ..., x_{n+1}),$$

with $g, h \in B_n$. We call this **convolutional product** of g and h.

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Let $f = x_{n+1}g(x_1, ..., x_n) + (1 + x_{n+1})h(x_1, ..., x_n)$, with $g, h \in B_n$ and $deg(h), deg(g) \le 2$, be cubic. Then

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- f is balanced if g and h are both balanced or g = h ∘ φ + 1, for some affinity φ,
- f is balanced if n is even, $g_{\restriction \mathbb{F}^n}$ and $h_{\restriction \mathbb{F}^n}$ are both bent with $\mathrm{w}(g) \neq \mathrm{w}(h)$,
- f is plateaued if n is even, $g_{|\mathbb{F}^n}$ and $h_{|\mathbb{F}^n}$ are both bent,
- the linear space of f is trivial if n is even, h_{|𝔅ⁿ} is bent and deg(f) = max{deg(g), deg(h)} + 1.

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Theorem [Well-known]

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Let *F* be vBf from \mathbb{F}^n into \mathbb{F}^n . Then

$$\sum_{\in \mathbb{F}^n\setminus\{0\}}\sum_{a\in \mathbb{F}^n}\mathcal{F}^2(D_a\mathcal{F}_\lambda)\geq 2^{2n+1}(2^n-1).$$

Moreover, F is APN if and only if equality holds.

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Lemma

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Let F be a vBf from \mathbb{F}^n into \mathbb{F}^n , with n even. If dim $V(F_{\lambda}) \ge 1$, for all $\lambda \in \mathbb{F}^n$, then

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For any $Q: \mathbb{F}^n \to \mathbb{F}^n$, we have

$$\sum_{\lambda \in \mathbb{F}^n \setminus \{0\}} (2^{\dim V(F_\lambda)} - 1) \ge 2^n - 1.$$
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Proposition

Let $Q : \mathbb{F}^n \to \mathbb{F}^n$, with *n* even, be such that Q_{λ} , with $\lambda \neq 0$, is bent or semi-bent. Then *Q* is APN if and only if there are exactly $\frac{2}{3}(2^n - 1)$ bent components.

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The maximum number of bent components of vBf $F : \mathbb{F}^n \to \mathbb{F}^n$ is $2^n - 2^{n/2}$ [Pott et al. 2018]. No plateaued APN functions can achieve the maximum number [Mesnager et al., 2018].

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Let $Q : \mathbb{F}^n \to \mathbb{F}^n$, with *n* even, be APN. Then

$$2(2^n - 1)/3 \le B \le 2^n - 2^{n/2} - 2$$

where $B = 2(2^n - 1)/3 + 4t$, for some integer $t \ge 0$.

Remark

If t > 0, then there is a component which is not bent or semi-bent.

One known such quadratic APN with t > 0 is [Dillon, 2006] $F(x) = x^3 + z^{11}x^5 + z^{13}x^9 + x^{17} + z^{11}x^{33} + x^{48}$ defined over \mathbb{F}_{2^6} and z is primitive. It has 46 bent components.

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(i) number of bent components for F(x) is $2^n - \frac{2^n - 1}{e} - 1$,

(ii) Walsh spectrum of F(x) is $\{0, \pm 2^{(n+s)/2}\}$ if e = 1 and $\{0, \pm 2^{(n+s)/2}, \pm 2^{n/2}\}$ if $e \ge 3$.

Remark

 $F(x) = x^d$, with $d = 2^j(2^k + 1)$, has the maximum number of bent components if and only if n = 2k (i.e. $e = 2^k + 1$). In this case F has only bent and affine components.

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Corollary

Let $F(x) = x^d$ be a power polynomial in $\mathbb{F}_{2^n}[x]$ where *n* is even and $d = 2^j(2^k + 1)$ with integer $j \ge 0$, $k \ge 1$. Let s = (n, 2k), $e = (2^n - 1, 2^k + 1)$. Then F(x) is APN if and only if e = 3 and s = 2. Equivalently, F(x) is APN if and only if there are exactly $2(2^n - 1)/3$ bent components and the rest semi-bent.

Corollary

If a quadratic power function, in even dimension, has some bent components, then they are at least $2(2^n - 1)/3$.



A. Musukwa et. al. On derivatives of Balanced functions and APN functions

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